

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/660,382 Confirmation No. 6022
Applicant : Graetz et al.
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Examiner : Lee, Cynthia K
For : High-Capacity Nanostructured Silicon and Lithium Alloys Thereof
Docket No. : 26-06
Customer No.:23713

Commissioner for Patents
MAIL STOP AMENDMENT
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CERTIFICATE OF EFS-WEB FILING

I hereby certify that this correspondence is being filed with the USPTO EFS-WEB system.

June 23, 2009
Date

/ronaldfriedland/
Ronald Friedland

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

The Examiner is respectfully requested to consider the references, copies enclosed, which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached form prepared by Applicants; this form is generally based on Patent and Trademark Office form PTO/SB/08a, but is not a USPTO form. Pursuant to 37 C.F.R. 1.98(a)(2)(ii), copies of the cited U.S. patent and U.S. patent application publication are not included, but will be provided upon request. Copies of seven non-patent literature documents are enclosed herewith.

This information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of any accompanying form, whether such form is generated by Applicant or is a USPTO form, is not an admission that all

listed references are prior art. No representation is made that applicants know of the best art.

It is believed that this submission does not require the payment of a fee. If this is not correct, please charge any required fee to deposit account no. 07-1969

Respectfully submitted,

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